

Dear Sir or Madam,

Thank you for your interest in becoming a member of EEX to trade **Gas** products with NCM membership.

Please find below a list of documents needed for your application at EEX. This list is in a checklist format, allowing you to follow the status of each requirement. Please mind the following instructions while processing the documents:

- The forms will not be checked until ALL Supporting Documents have been received.
- Documents are only accepted in English, French and German.
- Please send us a scan of the forms for a preliminary check before having them signed.

GET Baltic Sales team at sales@getbaltic.com will be happy to assist you with any questions you might have before starting the admission process at EEX and guide you through the document submission stage. Completed forms along with all supporting documentation should be sent to EEX Customer Care at membership@eex.com for the final review.

Common forms

Requirement	Description	Status
Group KYC Common	As part of the admission process, we kindly ask you to fill out and have signed the attached Know Your Customer questionnaires.	
Group KYC Appendix GAS		
Commercial Register Extract	Not older than 3 months	
Financial Statement and Independent Auditor's Report	Last financial year, signed (if translated version is not signed, signed version in native language is required). Please find further description in Group KYC Common form (page 3).	
Shareholder structure	Chart including all percentages of ownership up to the ultimate parent level/beneficial owner. Please find further description in Group KYC Common form (page 8).	

Signatures requirements: All KYC forms must be wet ink signed and sent as a scan.

ECC forms

Requirement	Description	Status
NCM01 Agreement	Application as Non-Clearing Member	
NCM02 Agreement	Trilateral NCM Agreement, to be also signed by your Clearing Member	
TP07 form	VAT details	

TP_EEX_05 forms for Gas Please use only the applicable form for your situation:		
TP_EEX_05a form	In case your company is a shipper and you have your own Balance Agreement with the TSO(s).	
TP_EEX_05b form	In case you will be using a 3rd party's Shipper code (Another company's Balance Agreement with the TSO).	
TP_EEX_05c form	In case you are not shipper and wish to use the close-out procedure. This option is only possible for Futures products.	

Signatures requirements: All ECC forms must be wet ink signed and sent as a scan.

EEX forms

Requirement	Description	Status
Capital requirement	Please note that if your capital is below 50.000 euros, you will need to provide a bank/parental guarantee or make a cash deposit.	
A01 form	Application for Admission as Exchange participant. More information about membership packages can be found under the table.	
T01 form	Order of technical access. Please note that Gas Spot products are only tradeable with Trayport Joule or EEX Joule Direct.	
T08 form (optional)	This form is needed for a broker to clear your trades through us using STP.	
OTF Agreement (optional)	Please note that to add OTF, you should be admitted on the Regulated Market for the same products.	
CV for one of the company's Managing Directors	Proving the necessary professional qualification for engaging in exchange transactions.	
Trader Qualification	All EEX exchange trader must fulfill the <i>Qualification requirements</i> : <ul style="list-style-type: none"> - Have an EEX/EUREX exam certificate no older than 3 years OR - If the EEX/EUREX exam is older than 3 years, the trader must have been actively trading in the past 3 years. The trader will be asked to personally declare past experiences once registered on the Member Section platform. OR 	

	- If the trader does not fulfill any of the above, an exam must be taken. Please fill out and send the Trader Exam online registration form at training@eex.com .	
--	--	--

Signatures requirements: digital signatures are accepted.

Central Coordinator appointment - please note that the EEX Central Coordinator appointed in the A01 form will receive access to our Member Section portal. The following EEX-related processes will then have to be performed within the portal:

- Exchange Trader admission and termination applications.
- Technical Infrastructure order and configuration processes.
- Upload of MiFID II short code/long code and algorithmic trading data.

EEX Customer Care team will provide all instructions during the admission process.

Membership Packages - the list of Spot and Derivatives products included in each Membership Package can be found in the file *Overview Membership Packages file*. If you wish to apply for more products available in your Membership Package, do not hesitate to inform GET Baltic Sales, who will provide you with additional requirements.

Reporting forms

Requirement	Description	Status
REMIT Agreement	Mandatory for admission on the Gas market.	
MiFID II/MiFIR Agreement	Mandatory for admission on the Derivatives market.	
EMIR Agreement (only for the EU based companies)	The obligation to report lies with the market participant. You can check with your Clearing Member if they provide this service already. If not, please fill in this form.	
Public IP address sent to Reporting Services	EEX Customer Care will confirm once this step can be completed.	

Signatures requirements: digital signatures are accepted.

Please don't hesitate to contact us should you have any questions.

Best regards,
EEX Customer Care & GET Baltic Sales